

#2

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

11002 U.S. PTO  
10/071282  
02/07/02

**In re Application of:** Mark W. Kroll and Kerry Bradley

**Serial No.:** Unassigned

**Art Unit:** Unassigned

**Filed:** Herewith

**Examiner:** Unassigned

**For:** **System and Method for Evaluating Risk of Mortality Due to Congestive Heart Failure Using Physiologic Sensors**

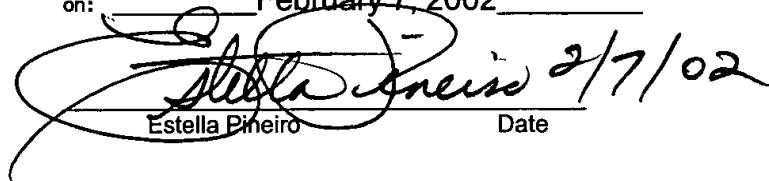
**Docket No.:** A01P1013US01

**INFORMATION DISCLOSURE STATEMENT**

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail No. EL494613819US in an envelope addressed to: BOX PATENT APPLICATION FEE Assistant Commissioner for Patents Washington, D.C. 20231,

BOX PATENT APPLICATION FEE  
Assistant Commissioner  
for Patents  
Washington, D.C. 20231

on: February 7, 2002

  
Estella Pineiro Date

Sir:

Pursuant to 37 C.F.R. § 1.56, 1.97, and 1.98, Applicants submit herewith Form PTO-1449 listing documents believed relevant to the above-identified application. It is respectfully requested that these documents be made of record and that an initialed copy of Form PTO-1449 be returned to the undersigned. A copy of each document is enclosed unless otherwise indicated.

This Information Disclosure Statement is not to be construed as a representation that a search has been made, that additional references material to the examination of this application do not exist, or that the citations listed on Form PTO-1449 necessarily constitute prior art to the application.

Respectfully submitted,

2/7/02

Date



Derrick Reed

Reg. No. 40,138

Attorney for Applicant(s)

Pacesetter, Inc.  
15900 Valley View Court  
Sylmar, CA 91392-9221  
818/493-2200  
818/362-4795 (fax)

<b>Form PTO-1449 (modified)</b>	<b>Attorney Docket No.</b> A02P1013US01	<b>Serial No.</b> Unassigned
<b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT(S)' INFORMATION DISCLOSURE STATEMENT</b>	<b>Applicant(s):</b> Mark W. Kroll and Kerry Bradley	
<i>(Use several sheets if necessary)</i>	<b>Filing Date:</b> Herewith	<b>Group Art Unit:</b> Unassigned

## U.S. PATENT DOCUMENTS

Examiner Initials	Document No.	Date	Name	Class / Subclass	Filing Date
	4,712,555	12/15/1987	Thornander et al.	128/419 PG	3/27/1985
	4,788,980	12/6/1988	Mann et al.	128/419 PG	7/18/1986
	4,802,481	2/7/1989	Schroeppel	128/419 PG	9/17/1987
	4,809,697	3/7/1989	Causey, III et al.	128/419 PT	10/14/1987
	4,901,725	2/20/1990	Nappholz et al.	128/419 PG	1/29/1988
	4,940,052	7/10/1990	Mann et al.	128/419 PG	1/25/1989
	4,944,298	7/31/1990	Sholder	128/419 PG	5/23/1989
	4,944,299	7/31/1990	Silvian	128/419 PG	8/8/1989
	5,267,564	12/7/1993	Barcel et al.	128/634	6/14/1991
	5,300,093	4/5/1994	Koestner et al.	607/32	9/14/1992
	5,466,254	11/14/1995	Helland	607/123	9/22/1993
	5,518,001	5/21/1996	Snell	128/697	6/17/1994
	5,573,550	11/12/1996	Zadeh et al.	607/28	4/28/1995
	5,614,246	3/25/1997	Mund et al.	427/2.24	11/7/1995

Examiner

Date Considered

**Examiner:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance *and* not considered. Include copy of this form with next communication to applicant(s).

11002 U.S. PTO  
10/07/1282  
02/07/02

<b>Form PTO-1449 (modified)</b>	<b>Attorney Docket No.</b> A02P1013US01	<b>Serial No.</b> Unassigned
<b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT(S)' INFORMATION DISCLOSURE STATEMENT</b>	<b>Applicant(s):</b> Mark W. Kroll and Kerry Bradley	
<i>(Use several sheets if necessary)</i>	<b>Filing Date:</b> Herewith	<b>Group Art Unit:</b> Unassigned

## U.S. PATENT DOCUMENTS

Examiner Initials	Document No.	Date	Name	Class / Subclass	Filing Date
	5,685,315	11/11/1997	McClure et al.	128/708	3/29/1996
	5,792,197	8/11/1998	Nappholz	607/17	4/16/1997
	5,935,081	8/10/1999	Kadhiresan	600/513	1/20/1998
	5,944,745	8/31/1999	Rueter	607/27	9/25/1996
	5,964,788	10/12/1999	Greenhut	607/17	10/28/1997
	5,974,340	10/26/1999	Kadhiresan	607/18	4/29/1997
	5,980,463	11/9/1999	Brockway et al.	600/485	11/8/1996
	6,021,351	2/1/2000	Kadhiresan et al.	607/19	6/25/1999
	6,104,949	8/15/2000	Pitts Crick et al.	600/547	9/9/1998
	6,135,970	10/24/2000	Kadhiresan et al.	600/595	12/7/1999
	6,190, 324 B1	2/20/2001	Kieval et al.	600/483	4/28/1999
	6,275,734 B1	8/14/2001	McClure et al.	607/27	12/30/1998

Examiner

Date Considered

**Examiner:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance *and* not considered. Include copy of this form with next communication to applicant(s).

Form PTO-1449 (modified)	Attorney Docket No. A02P1013US01	Serial No. Unassigned
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT(S)' INFORMATION DISCLOSURE STATEMENT	Applicant(s): Mark W. Kroll and Kerry Bradley	
(Use several sheets if necessary)	Filing Date: Herewith	Group Art Unit: Unassigned

## PUBLICATIONS / OTHER ART

Examiner Initials	Citation
	Robbins, MD, et al., "Ventilatory and Heart Rate Responses to Exercise: Better Predictors of Heart Failure Mortality Than Peak Oxygen Consumption", Circulation, pp: 2411-2416 (December 14, 1999)

Examiner

Date Considered

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance *and* not considered. Include copy of this form with next communication to applicant(s).

<b>Form PTO-1449 (modified)</b>	<b>Attorney Docket No.</b> A02P1013US01	<b>Serial No.</b> Unassigned
<b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT(S)' INFORMATION DISCLOSURE STATEMENT</b>	<b>Applicant(s):</b> Mark W. Kroll and Kerry Bradley	
<i>(Use several sheets if necessary)</i>	<b>Filing Date:</b> Herewith	<b>Group Art Unit:</b> Unassigned

## FOREIGN PATENT DOCUMENTS

Examiner Initials	Document No.	Date	Country	Class / Subclass	Translation Yes/No
	Int'l No.:	Int'l Date:		Int'l Classification:	
	WO 01/23040 A1	5 April 2001		A61N 1/365	

Examiner

Date Considered

**Examiner:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance *and* not considered. Include copy of this form with next communication to applicant(s).